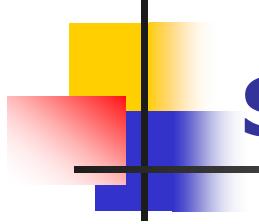


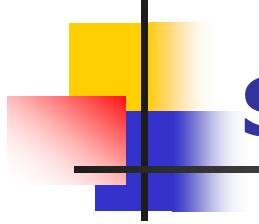
Boundary properties of the satisfiability problems

Vadim Lozin

DIMAP – Center for Discrete Mathematics and its Applications
Mathematics Institute
University of Warwick



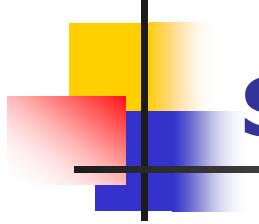
Satisfiability



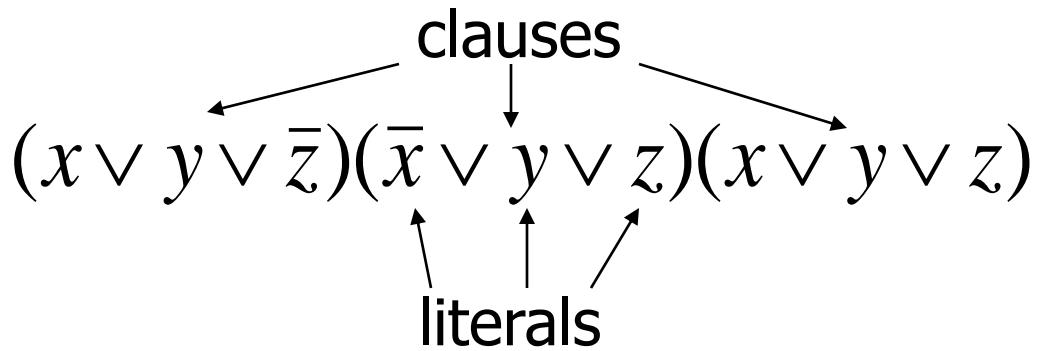
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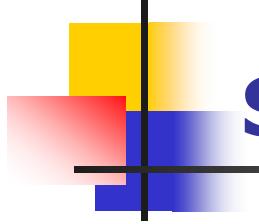
clauses

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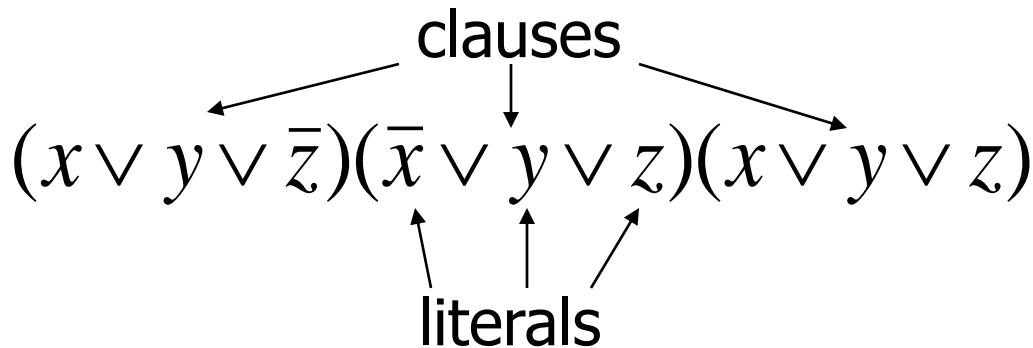


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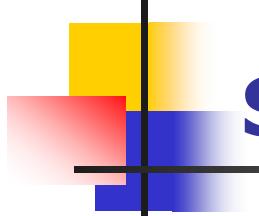


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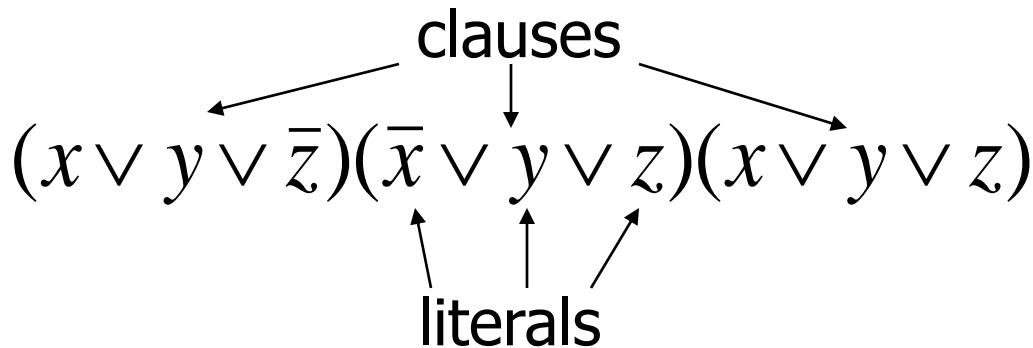


C is the set of clauses

$X = \{x, y, z\}$ is the set of variables



Satisfiability

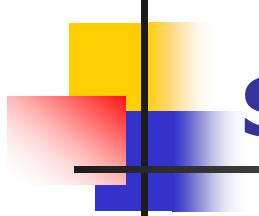


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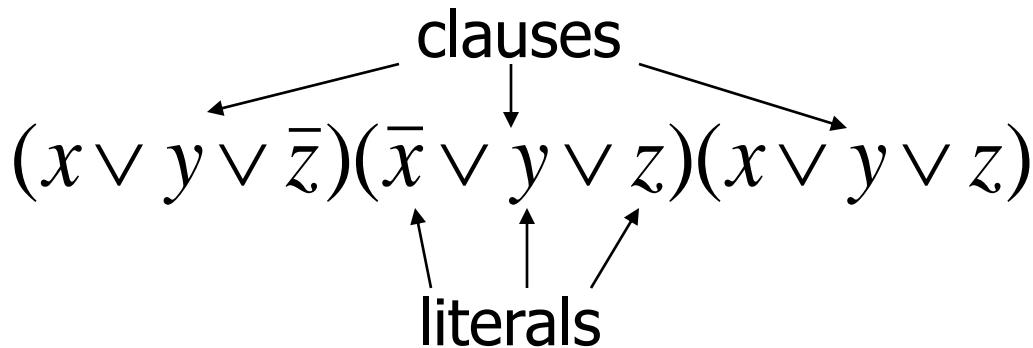
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Truth assignment $f: X \rightarrow \{0, 1\}$

Example: $x=1, y=0, z=1$



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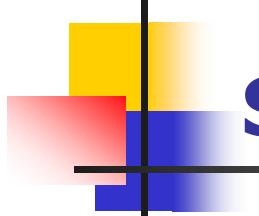
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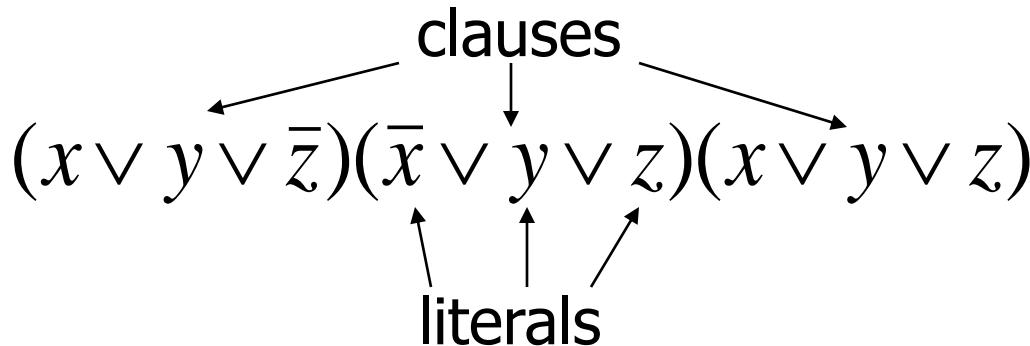
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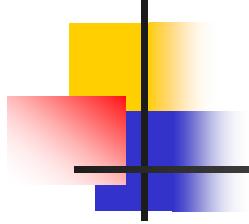
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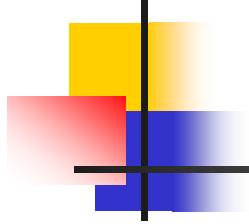
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SAT: Determine if there is a truth assignment satisfying each clause



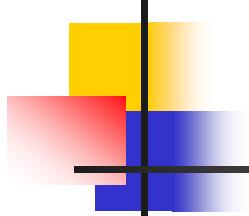
Complexity of the problem and its restrictions

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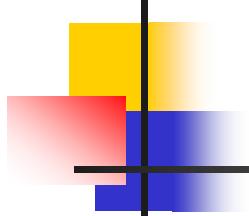
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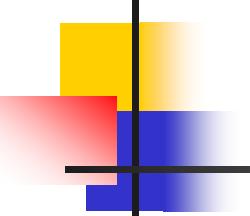


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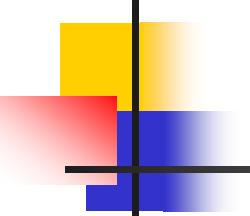
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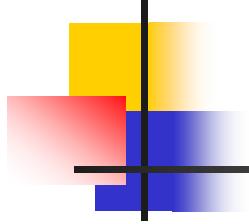
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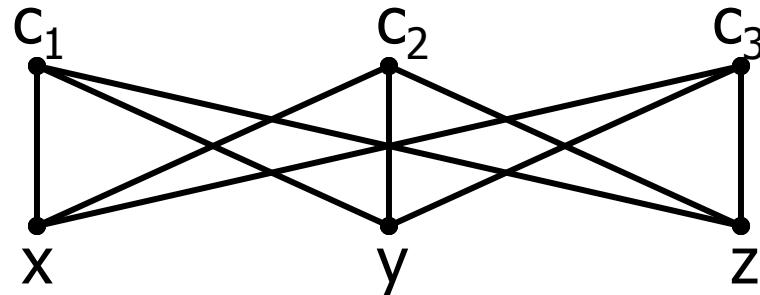
Complexity of the problem and its restrictions

- **planar** 3-SAT where each variable appears (positively or negatively) in at most three clauses is NP-complete

Graphs associated with formulas

Given an instance F of the problem, we associate to it a bipartite graph G_F with the vertex set $C \cup X$ and the set of edges connecting each variable $x \in X$ to those clauses in C that contain x (positively or negatively).

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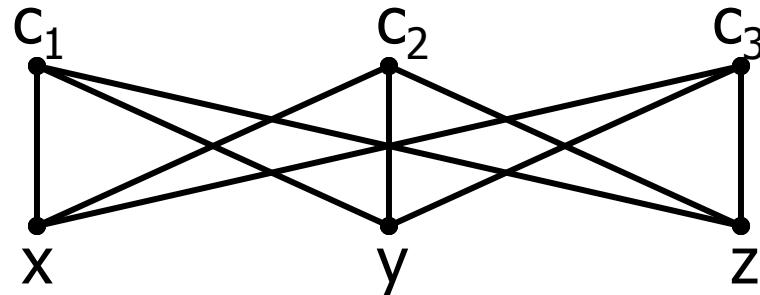


The formula graph

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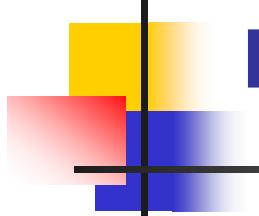
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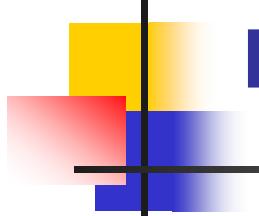
A formula is planar if its formula graph is planar



Planar satisfiability

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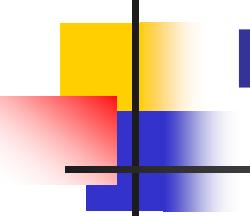


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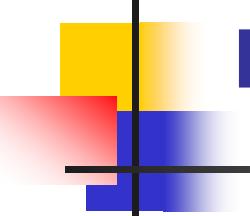
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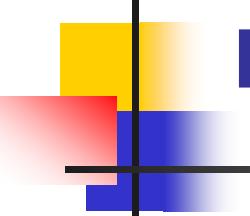
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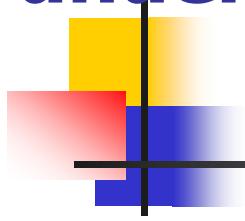
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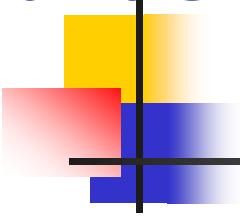
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Finding the strongest possible restrictions under which a problem remains NP-complete

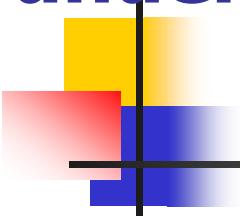


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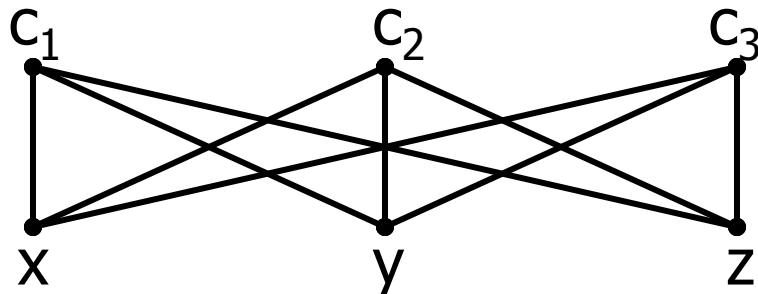


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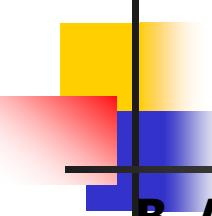
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$$(x \vee y \vee \bar{z})(\bar{x} \vee y \vee z)(x \vee y \vee z)$$



The number of variables in C_i is the degree of C_i ,

The number of appearances of x is the degree of x

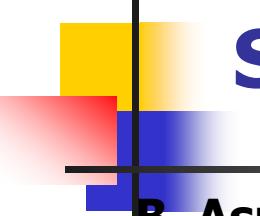


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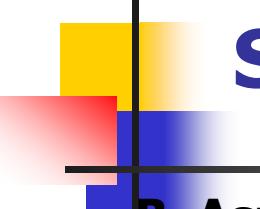
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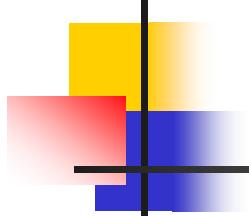
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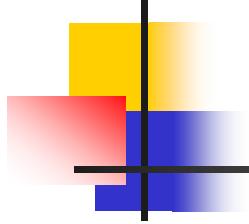
S. Ordyniak, D. Paulusma and S. Szeider, Satisfiability of Acyclic and almost Acyclic CNF Formulas, *Theoretical Computer Science*, 481 (2013) 85-99.

proves that satisfiability restricted to instances whose formula graphs are chordal bipartite can be solved in polynomial time.



Hereditary, limit and boundary properties of graphs

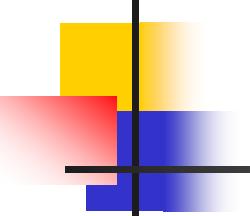
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A graph property is *hereditary* if it is closed under taking induced subgraphs. Equivalently, a class of graphs is hereditary if deletion of a vertex from a graph in the class results in a graph in the same class.

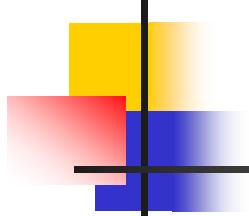


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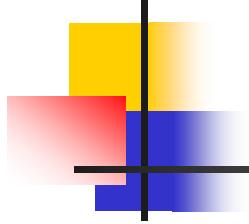
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Examples: *bipartite graphs, chordal bipartite graphs, planar graphs, graphs of bounded vertex degree, of bounded tree-width, etc.*



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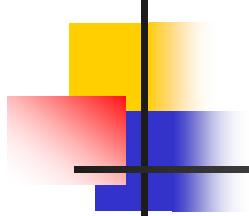
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For a set M , let $\text{Free}(M)$ denote the class of graphs containing no induced subgraphs from M .

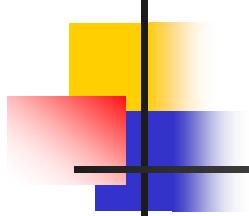


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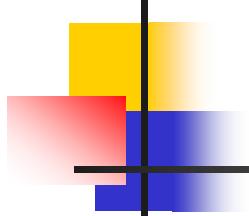
For a set M , let $\text{Free}(M)$ denote the class of graphs containing no induced subgraphs from M .

Theorem. *A class X of graphs is hereditary if and only if $X = \text{Free}(M)$ for a set M .*



Hereditary, limit and boundary properties of graphs

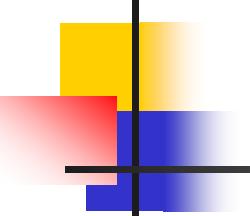
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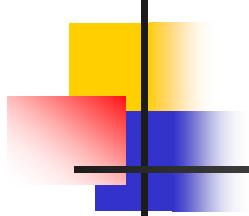


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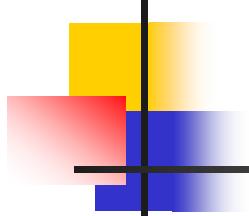
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Let us call any hereditary class of formula graphs with polynomial-time solvable satisfiability problem *good* and all other hereditary classes of formula graphs *bad*.



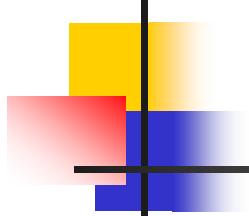
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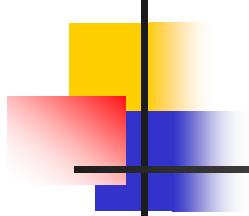
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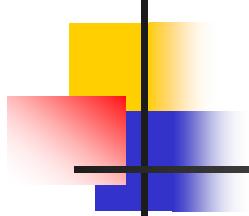
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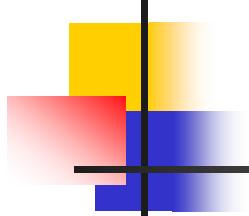


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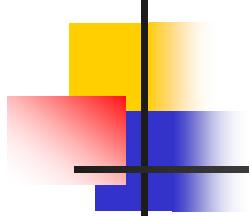


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Let $Y_1 \supseteq Y_2 \supseteq Y_3 \dots$ be a sequence of bad classes of formula graphs. The intersection of these classes will be called a *limit* class and we will say that the sequence converges to the limit class.

$$Y_k = \text{Free}(C_3, C_4, \dots, C_k) \quad k=3,4,5,\dots \quad \longrightarrow \quad \text{Forests}$$

$$Y_k = \text{Free}(K_{1,4}, C_3, C_4, \dots, C_k) \quad k=3,4,\dots \quad \longrightarrow \quad \text{Forests of degree } \leq 3$$



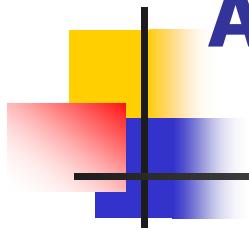
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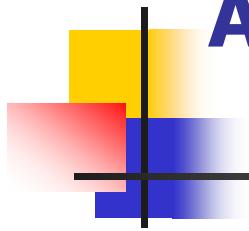
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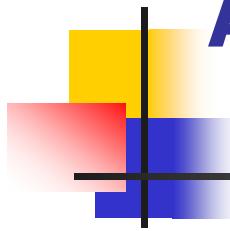


A limit property of satisfiability problems



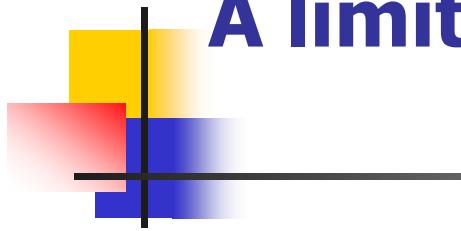
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$$(x \vee \bar{y} \vee z)$$



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$$(x \vee \bar{y} \vee z) \longrightarrow (u \vee \bar{y} \vee z)(x \vee \bar{u})$$



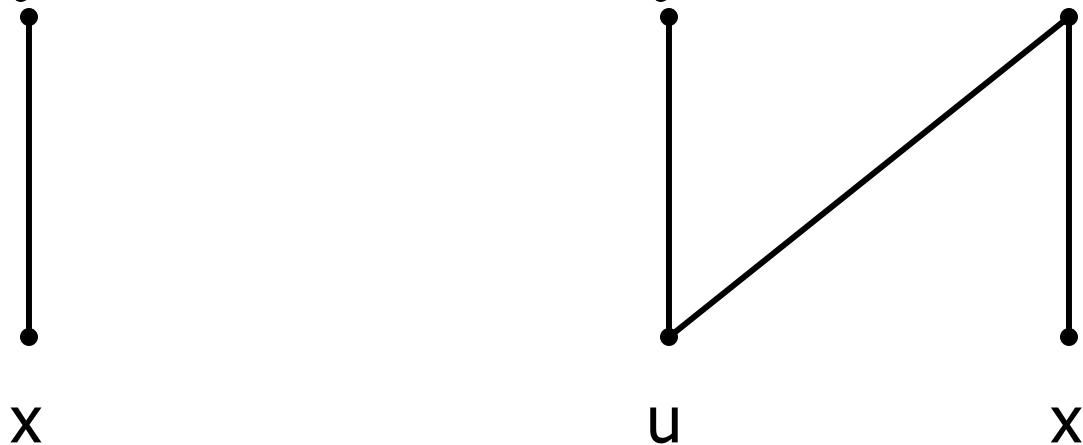
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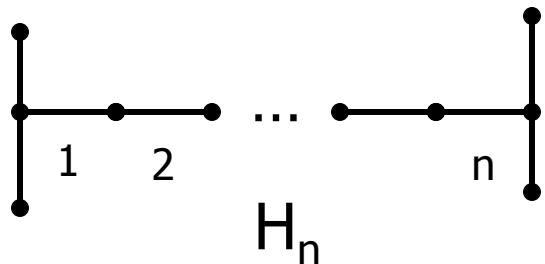
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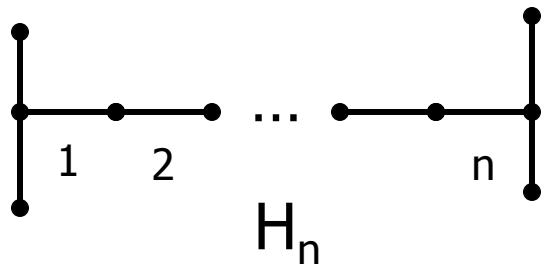
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A limit property of satisfiability problems



Lemma. *For each fixed k , the satisfiability problem restricted to instances whose formula graphs belong to the class $\text{Free}(K_{1,4}, C_3, C_4, \dots, C_k, H_1, H_2, \dots, H_k)$ is NP-complete.*

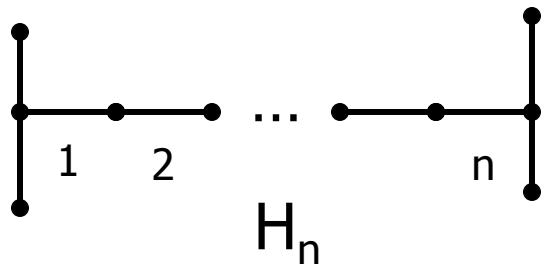
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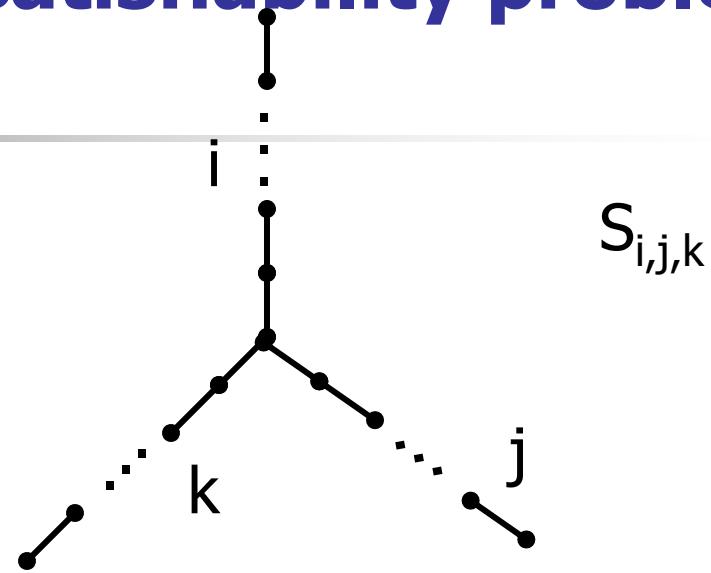
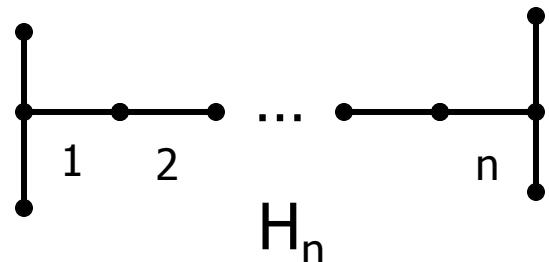


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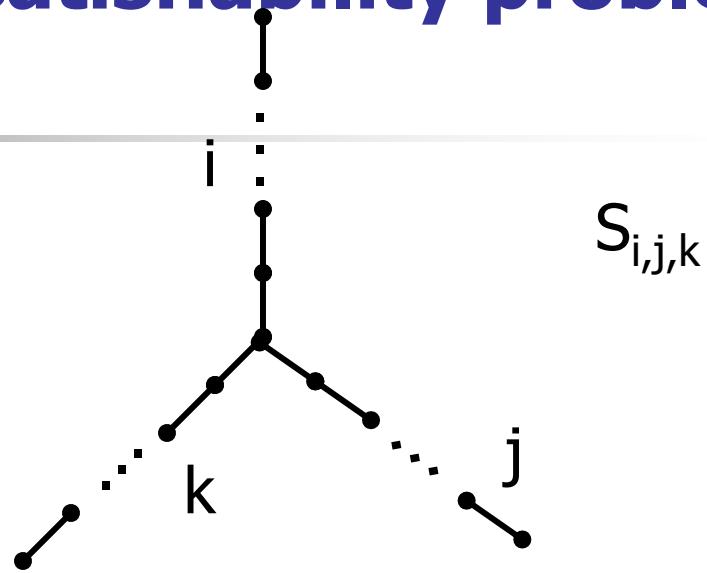
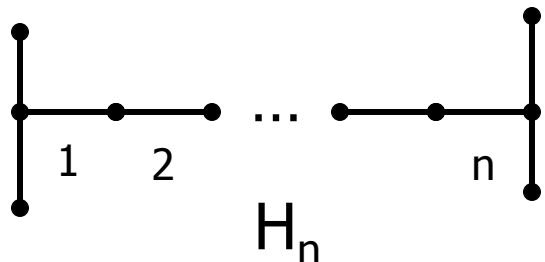


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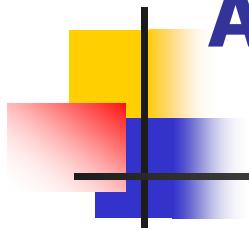
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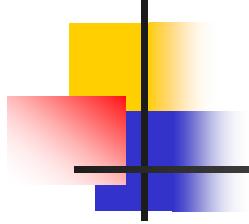
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A limit property of satisfiability problems

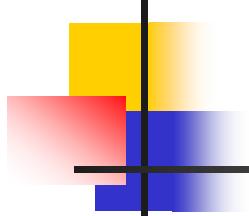
Theorem. *The class S is a limit class*



Did you know that

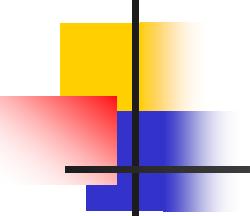
The difference in the speed of clocks at different heights above the earth is now of considerable practical importance, with the advent of very accurate navigation systems based on signals from satellites. If one ignored the predictions of general relativity theory, the position that one calculated would be wrong by several miles!

Stephen Hawking *A brief history of time*



Auxiliary results

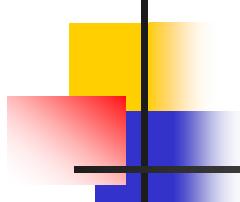
Lemma. *The satisfiability problem restricted to any class of formula graphs of bounded tree-width is polynomial-time solvable.*



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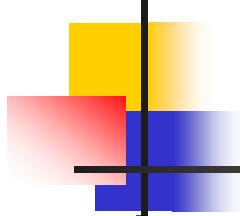
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G. Gottlob and S. Szeider, *Fixed-parameter algorithms for artificial intelligence, constraint satisfaction, and database problems*, The Computer Journal, 51(3) (2006) 303-325.



Auxiliary results

Theorem. *Let X be a monotone class of graphs which does not contain at least one graph from S , then the tree-width of graphs in X is bounded by a constant.*

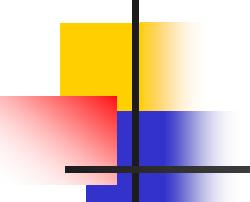


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M. Kaminski and V.V. Lozin, Coloring edges and vertices of graphs without short or long cycles, Contributions to Discrete Mathematics, 2 (2007) 61–66.

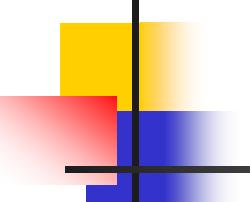


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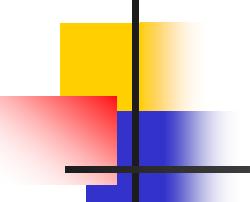
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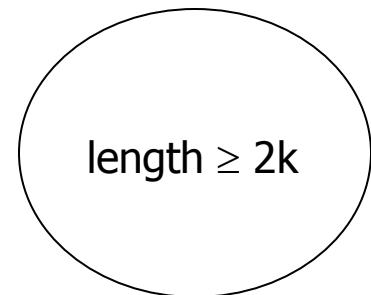
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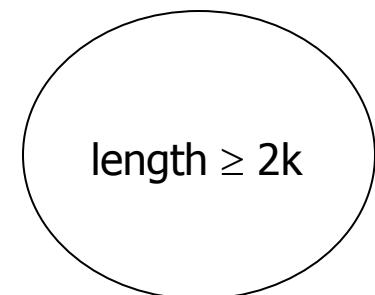
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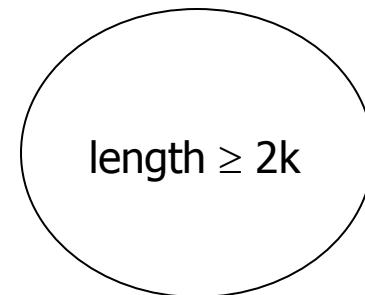
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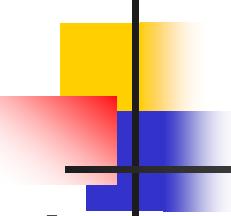
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For $t > 1$, deletion of any copy of $S_{k,k,k}$ results in a graph which is of bounded tree-width by the inductive hypothesis.

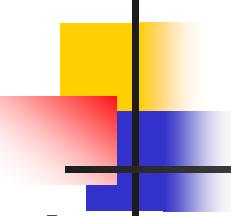


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Minimality criterion

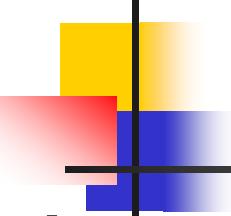
Theorem. *A limit class $X=Free(M)$ is minimal if and only if for each graph $G \in X$ there is a finite set of graphs $T \subseteq M$ such that $Free(G \cup T)$ is good.*



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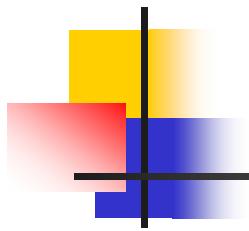


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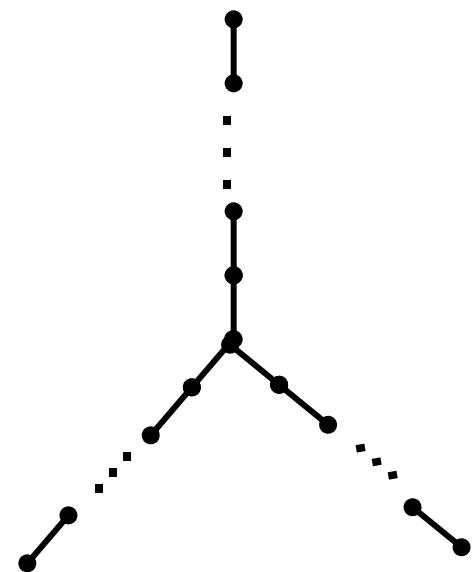
Conversely, assume for each graph $G \in X$ there is a finite set $T \subseteq M$ such that $\text{Free}(G \cup T)$ is good. Consider a subclass $Z \subset X$, a graph $G \in X - Z$ and a finite set $T \subseteq M$ such that $\text{Free}(G \cup T)$ is good. Assume $Z = \cap Z_k$ for a sequence of bad classes Z_k . But then there must exist an n such that $Z_n \subseteq \text{Free}(G \cup T)$ contradicting the assumption.



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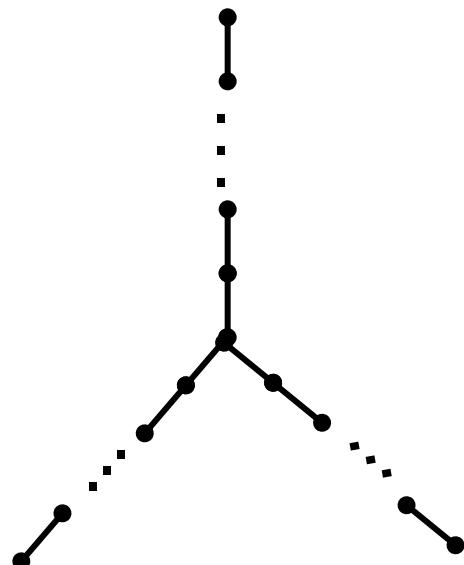
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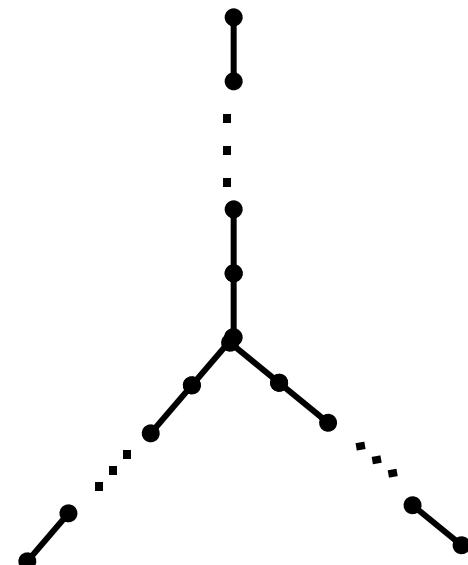
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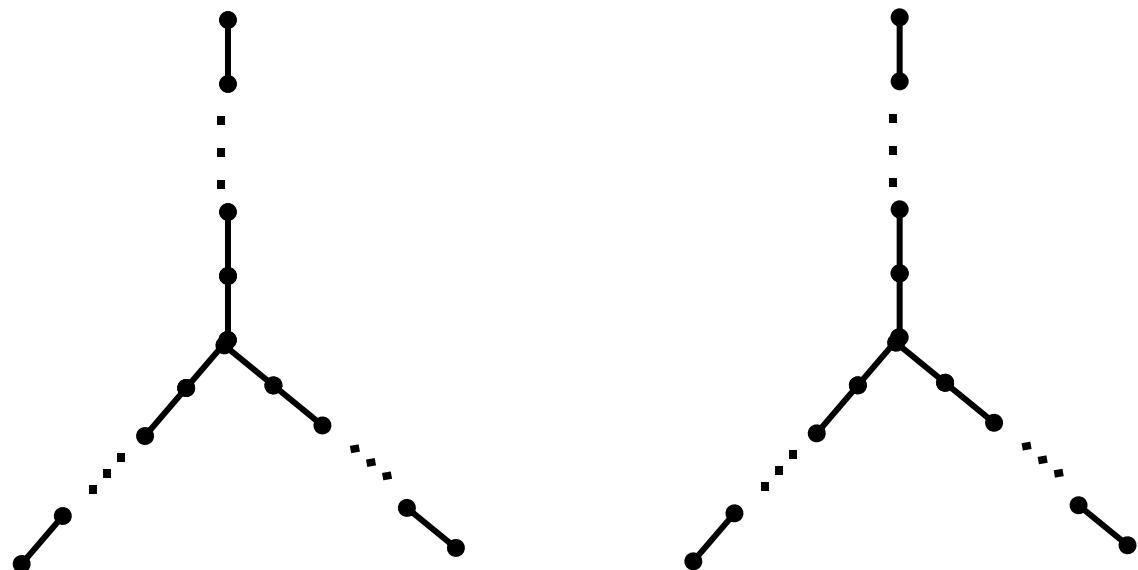
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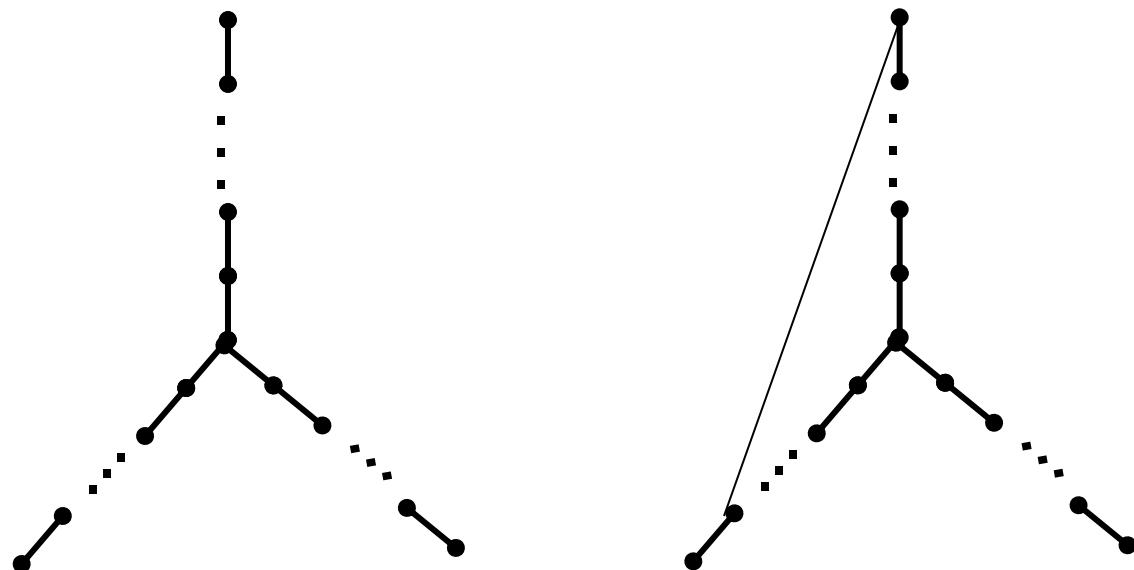
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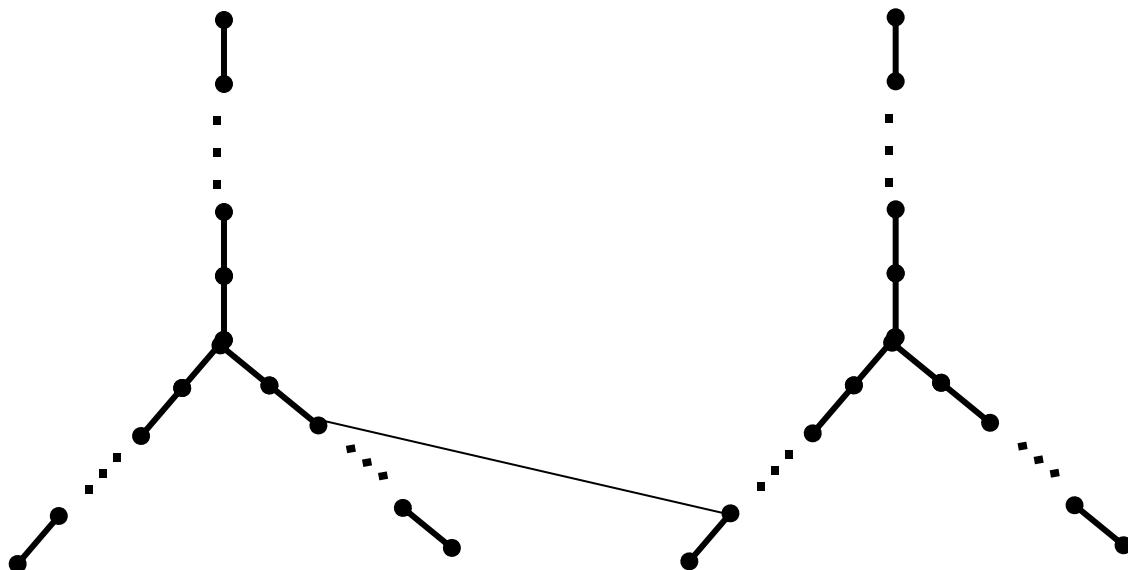
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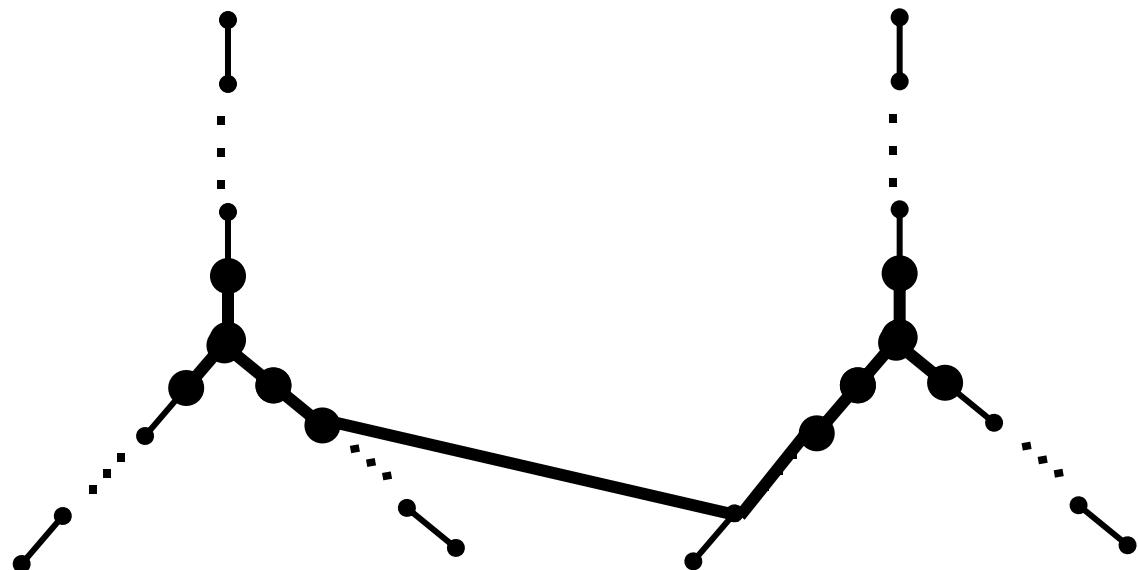
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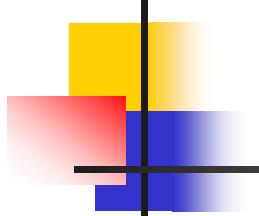


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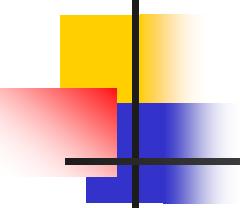


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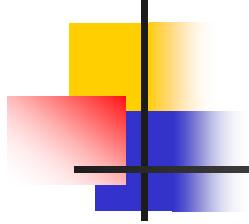
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Therefore, $\text{Free}(G, K_{1,4}, C_3, \dots, C_{2k+1}, H_1, \dots, H_{2k+1})$ is of bounded tree-width and hence is good.



Thank you